VIA FACSIMILE: 6 PAGES TOTAL

May 5, 2006

REGEIVED CENTRAL FAX GENTER

MAY 0 5 2006

Mail Stop Missing Parts
Office of Initial Patent Examination
Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

Re:

Applicant(s):

Nielsen, James T.; et. al.

Assignee:

Cardica, Inc.

Title:

Surgical System and Method for Connecting Hollow Tissue

Structures

Serial No.:

10/720,618

Examiner:

Not yet assigned

Filed: November 24, 2003

Docket No.:

142

Group Art Unit: 3721

Transmitted herewith are the following documents in the above-identified application:

(1) This Transmittal Letter;

(2) Request for Corrected Filing Receipt, including copy of Official Filing Receipt of U.S. Patent Application Serial No. 10/151,441; and

(3) Copy of Official Filing Receipt mailed March 23, 2004, with corrections indicated thereon.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (571) 273-8300) on May 5, 2006.

Attorney for Cardica, Inc.

Respectfully submitted,

Brian A. Schar Reg. No. 45,076

Cardica, Inc.

900 Saginaw Drive

Redwood City, CA 94063

(650) 331-7162

Docket No.: 142

May 5, 2006

Office of Initial Patent Examination

MAY 0 5 2008

Filing Receipt Corrections Alexandria, VA 22313-1450

Applicant(s):

Nielsen, James T.; et. al.

Assignee:

Cardica, Inc.

Title:

Surgical System and Method for Connecting Hollow Tissue

Structures

Serial No.:

10/720,618

Examiner:

Not yet assigned

Filed: November 24, 2003

Docket No.:

142

Group Art Unit: 3721

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Enclosed is a copy of the Official Filing Receipt marked to show that correction is needed. The section entitled "Domestic Priority data as claimed by applicant" should read:

This application is a CIP of 10/607,524 06/26/2003 which is a CIP of 10/392,336 03/19/2003 which is a CIP of 10/151,441 05/20/2002 -- which is a CIP of 09/363,255 07/28/1999 PAT 6,391,038--This application 10/720,618 claims benefit of 60/483,078 06/26/2003

In support of this change, the filing receipt of U.S. Patent Application Serial No. 10/151,441 is enclosed, showing that patent application is a CIP of 6,391,038.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Brian A. Schar Reg. No. 45,076

Director of Intellectual Property

Cardica, Inc. (650) 331-7162



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. D. Sax 1450 Alexandrio, Vagnina 22313-1450 vovvarpho.gav

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/720,618	11/24/2003	3721	1023	142	88	67	8

CONFIRMATION NO. 4252

Brian A. Schar Cardica, Inc. 900 Saginaw Drive Redwood City, CA 94063

Date Mailed: 03/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James T. Nielsen, San Francisco, CA; Nathan H. White, Palo Alto, CA; Theodore M. Bender, San Francisco, CA; Philipe R. Manoux, San Francisco, CA; David L. Bombard, San Francisco, CA; Brendan M. Donohoe, San Francisco, CA; Bryan D. Knodel, Flagstaff, AZ;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/607,524 06/26/2003 which is a CIP of 10/392,336 03/19/2003 which is a CIP of 10/151,441 05/20/2002 This application 10/720,618 claims benefit of 60/483,078 06/26/2003

Nich is a CIP of 09/363,255 07/28/1999 PAT 6,391,038

Foreign Applications

If Required, Foreign Filing License Granted: 02/23/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Surgical system and method for connecting hollow tissue structures

Preliminary Class

606

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPIO.90

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY DOCKET NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 10/151,441
 05/20/2002
 3731
 1081
 108
 26
 85
 6

CONFIRMATION NO. 4791

Brian Schar Chief Patent Counsel Cardica, Inc. 171 Jefferson Drive Menlo Park, CA 94025 FILING RECEIPT

OC000000008331966

Date Mailed: 06/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

David L. Bombard, San Francisco, CA; Bryan D. Knodel, Flagstaff, AZ; Jairne S. Vargas, Menio Park, CA; Michael J. Hendricksen, Redwood City, CA; Stephen A. Yencho, Menio Park, CA; James T. Nielsen, San Francisco, CA; Bernard A. Hausen, Menio Park, CA; Brendan M. Donohoe, San Francisco, CA; Theodore M. Bender, San Francisco, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/363,255 07/28/1999 PAT 6,391,038

Foreign Applications

If Required, Foreign Filing License Granted 06/21/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

System for performing anastomosis

Preliminary Class

606

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to esplonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).